

# DeRISK™ Platform — Regulatory & Framework Coverage

A reference summary of regulatory requirements, compliance frameworks, and industry standards addressed across the DeRISK Platform — for compliance officers, regulators, and auditors.

Coverage spans three categories: platform-wide security and data privacy, insurance market regulation (DeRISK UWA Agentic), and OT/industrial standards (DeRISK CRQ and QVM). Status reflects design intent and deployment evidence at May 2026.

**PRODUCT KEY**

Platform
CRQ
QVM
UWA Agentic

FRAMEWORK	AUTHORITY	STATUS	PRODUCT	COVERAGE
<b>SOC 2 Type II</b> Service Organization Control 2	<b>AICPA</b> United States	<b>CERTIFIED</b>	<b>Platform</b>	Security, availability, and confidentiality trust service criteria. Annual independent audit. Report available to qualified prospects under mutual NDA on request.
<b>GDPR</b> General Data Protection Regulation	<b>European Commission</b> European Union	<b>COMPLIANT</b>	<b>Platform</b>	Data processing agreements available. EU data residency option selectable at onboarding. Data anonymized at ingestion. Right to erasure and data portability supported. DPA provided prior to deployment.

FRAMEWORK	AUTHORITY	STATUS	PRODUCT	COVERAGE
<b>US / NAIC</b> Model Laws & AI Guidance	<b>NAIC</b> United States	<b>ADDRESSED</b>	<b>UWA Agentic</b>	28 model law and AI guidance requirements addressed in workflow design. Covers AI governance, explainability, auditability, and non-discrimination principles for automated underwriting systems.
<b>UK / FCA</b> AI & Consumer Duty Principles	<b>Financial Conduct Authority</b> United Kingdom	<b>ADDRESSED</b>	<b>UWA Agentic</b>	FCA AI principles addressed in system design: transparency, human oversight, and explainability of automated decisions. Consumer duty considerations incorporated into output structure and binding condition workflows.
<b>Lloyd's of London</b> Market Data & Model Governance	<b>Lloyd's Corporation</b> United Kingdom	<b>ADDRESSED</b>	<b>UWA Agentic</b>	Lloyd's data quality and model governance requirements addressed in workflow design. Underwriting submissions structured to Lloyd's standards. Full audit trail supports Lloyd's model oversight requirements.
<b>EU / EIOPA</b> Solvency II & AI in Insurance	<b>EIOPA</b> European Union	<b>ADDRESSED</b>	<b>UWA Agentic</b>	Solvency II data quality requirements incorporated in model inputs. EIOPA guidance on the use of AI in insurance addressed in governance design, including model explainability and human-in-the-loop oversight.

FRAMEWORK	AUTHORITY	STATUS	PRODUCT	COVERAGE
<b>NERC CIP</b> Critical Infrastructure Protection	<b>NERC</b> North America	<b>ALIGNED</b>	<b>CRQ</b> <b>QVM</b>	CRQ and QVM outputs support NERC CIP-013 supply chain risk management documentation requirements. Financial risk evidence supports CIP compliance evidence packages and risk treatment plans.
<b>NIST CSF</b> Cybersecurity Framework	<b>NIST</b> United States	<b>ALIGNED</b>	<b>CRQ</b> <b>QVM</b>	QVM governance output maps remediation priorities to NIST CSF function categories (Identify, Protect, Detect). CRQ financial output supports the Govern function's risk quantification and enterprise risk tolerance requirements.
<b>ISO 27001</b> Information Security Management	<b>ISO / IEC</b> International	<b>ALIGNED</b>	<b>CRQ</b> <b>QVM</b>	Risk quantification outputs aligned with ISO 27001 Clause 6 (risk assessment and treatment). QVM prioritization evidence supports Statement of Applicability and risk treatment plan documentation.
<b>DNX CSF</b> DeNexus Cyber Security Framework	<b>DeNexus</b> US & EU	<b>NATIVE</b>	<b>CRQ</b> <b>QVM</b>	DeNexus proprietary framework for OT cyber risk governance. Natively supported across CRQ and QVM. Governance output aligned to DNX CSF control domains and risk treatment categories, board-ready and audit-ready.

**AVAILABLE ON REQUEST**

SOC 2 Type II report · Data processing agreement (DPA) · Independent security assessment summary · Framework mapping detail by product — contact [compliance@denexus.io](mailto:compliance@denexus.io)

**STATUS KEY**

**Certified** — third-party audit · **Compliant** — by design · **Addressed** — incorporated in workflow · **Aligned** — output supports evidence · **Native** — built-in